PATENT. 450100-03743

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants :

Tomohiro NISHI et al.

Serial No. :

10/085,659

Filed

February 26, 2002

For

OPTICAL STATE MODULATION METHOD AND SYSTEM, AND

OPTICAL STATE MODULATION APPARATUS

Art Unit

2614

745 Fifth Avenue New York, New York 10151 Tel. (212) 588-0800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents, P.O. Box 1450
Alexandria, VA 22313-1450, on October 9, 2003

Glenn F. Savit, Reg. No. 37,437

Name of Applicant, Assignee or
Registered Representative

Signature

October 9, 2003
Date of Signature

RECEIVED

OCT 2 2 2003

Technology Center 2600

<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 C.F.R. 1.97(b)</u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants are filing this Information Disclosure Statement and the accompanying form PTO-1449 along with copies of the prior art therein identified. Such prior art has come to the attention of applicants by being cited in a Search Report issued

in the corresponding European application on August 29, 2003. So far as applicants are aware, this Information Disclosure

Statement is being filed before the mailing date of the first

Office Action on the merits.

The filing of this Information Disclosure Statement is not an admission that the documents identified herein constitute prior art to the present application.

Please charge any fees incurred by the filing of this document or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP Attorneys for Applicants

Bv.

Glenn F. Savit

Reg. No. 37,437

Tel. (212) 588-0800



Based on Form PTO-1449 (3/90)

ATTY. DOCKET NO. 450100-03743

APPLICANT

SERIAL NO. 10/085,659

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

Tomohiro NISHI et al.

FILING DATE February 26, 2002 GROUP 2614

XAMINER		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING	DATE I
INITIAL		DOCUMENT NUMBER	DATE		NAME	REC	CEIVE		PRIATE
· · · · · · · · · · · · · · · · · · ·	AA			·		003	2 0 0000		
	AB			, , , , , , , , , , , , , , , , , , ,		Tools	2 2 2003		
	AC_			ļ		recnnolo	2 2 2003 By Center 2	300	
	AD								
	AE			ļ					
	AF		<u> </u>						
	AG								
	AH								
	AI								
	AJ								
	AK								
			F	OREIGN PATENT	DOCUMENTS		<u> </u>		
		DOCUMENT NUMBER	DATE	С	OUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AL	WO 01 33846	05/10/01	РСТ					1
	AM				,				
	AN	•		<u> </u>		(4)		-	
	AO								
	AP								
		OTHER PRIO	R ART (Includi	ng Author, Tit	le, Date, Perti	nent Pages, Etc	c.)		
•	AQ			<u>.</u>					
	AR						•	<u></u>	
	AS								
EXAMINER					DATE CONSIDERED				